

# Chaos on ultragraph shift spaces

Daniel Gonçalves - UFSC

Feb 2022

# Coding dynamical systems

## Definition 1.

Given a graph  $(E^0, E^1, r, s)$  the associated edge shift space is defined as

$$X = \{(e_n) : r(e_n) = s(e_{n+1}) \forall n = 1, 2, \dots\},$$

with the prodiscrete topology.

## Definition 1.

Given a graph  $(E^0, E^1, r, s)$  the associated edge shift space is defined as

$$X = \{(e_n) : r(e_n) = s(e_{n+1}) \forall n = 1, 2, \dots\},$$

with the prodiscrete topology.

## Definition 2.

Metric on  $X$ :

$$d((e_n), (f_n)) = \frac{1}{2^j}, \text{ where } e_1 \dots e_{j-1} = f_1 \dots f_{j-1} \text{ and } e_j \neq f_j.$$

Let  $(X, f)$  be a dynamical system.

## Definition 3.

A pair  $(x, y) \in X \times X$  is called *scrambled* if

- $\limsup d(f^n(x), f^n(y)) > 0$  and

Let  $(X, f)$  be a dynamical system.

## Definition 3.

A pair  $(x, y) \in X \times X$  is called *scrambled* if

- $\limsup d(f^n(x), f^n(y)) > 0$  and
- $\liminf d(f^n(x), f^n(y)) = 0$ .

Let  $(X, f)$  be a dynamical system.

## Definition 3.

A pair  $(x, y) \in X \times X$  is called *scrambled* if

- $\limsup d(f^n(x), f^n(y)) > 0$  and
- $\liminf d(f^n(x), f^n(y)) = 0$ .

$(X, f)$  is *Li-Yorke chaotic* if there exists a uncountable subset of  $X$  such that every pair  $(x, y)$  is scrambled.

Let  $(X, f)$  be a dynamical system.

## Definition 3.

A pair  $(x, y) \in X \times X$  is called *scrambled* if

- $\limsup d(f^n(x), f^n(y)) > 0$  and
- $\liminf d(f^n(x), f^n(y)) = 0$ .

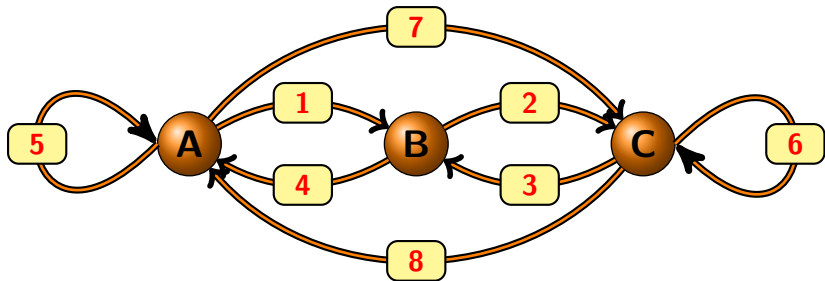
$(X, f)$  is *Li-Yorke chaotic* if there exists a uncountable subset of  $X$  such that every pair  $(x, y)$  is scrambled.

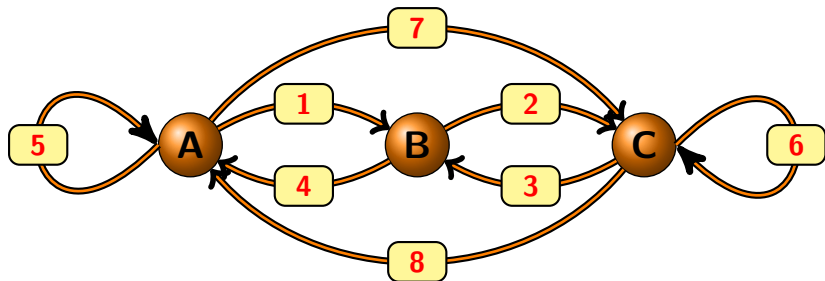
## Example 4.

Full shift on two generators.

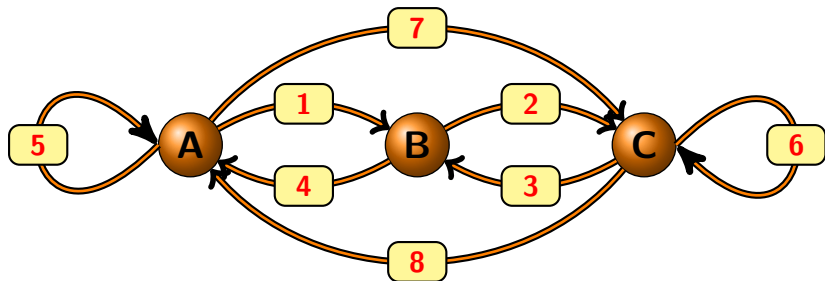


# Shift spaces





**How to define an analogue for infinite alphabets?**



## How to define an analogue for infinite alphabets?

Appeared most commonly in the context of countable-state Markov chains (or equivalently, shifts coming from countable directed graphs or matrices). Examples of such approach can be found in [8, 10, 18], [1, 2], etc..

# Infinite alphabet shift spaces

- Many approaches to shift spaces over infinite alphabets.

# Infinite alphabet shift spaces

- Many approaches to shift spaces over infinite alphabets.
- **Difficulty:** Usually shift spaces are not compact.

# Infinite alphabet shift spaces

- Many approaches to shift spaces over infinite alphabets.
- **Difficulty:** Usually shift spaces are not compact.
- Recently Ott, Tomforde and Willis proposed a new approach to infinite shift spaces, connected to graph  $C^*$ -algebras, see [22]:

# Infinite alphabet shift spaces

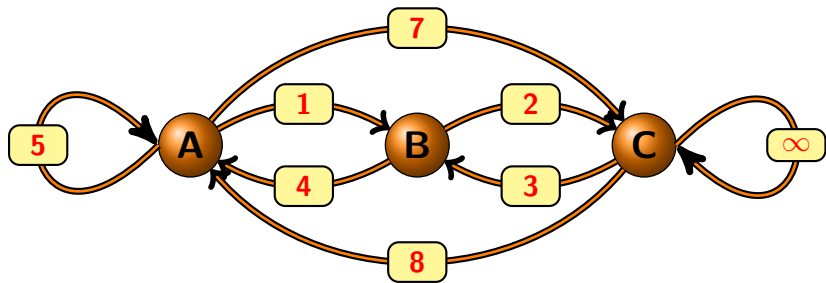
- Many approaches to shift spaces over infinite alphabets.
- **Difficulty:** Usually shift spaces are not compact.
- Recently Ott, Tomforde and Willis proposed a new approach to infinite shift spaces, connected to graph  $C^*$ -algebras, see [22]:
- Exel and Laca propose a shift space associated to an infinite matrix as the spectrum of a certain commutative algebra, see [5]

# Infinite alphabet shift spaces

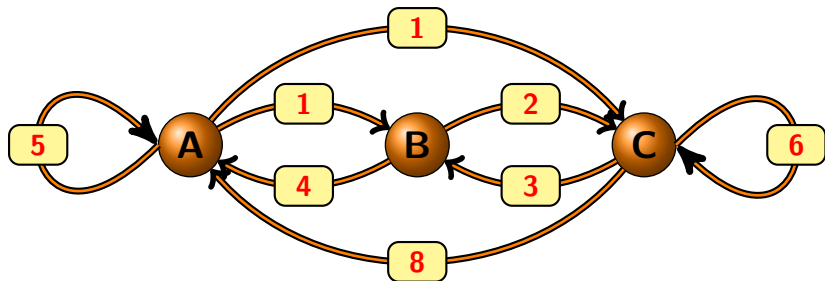
- Many approaches to shift spaces over infinite alphabets.
- **Difficulty:** Usually shift spaces are not compact.
- Recently Ott, Tomforde and Willis proposed a new approach to infinite shift spaces, connected to graph  $C^*$ -algebras, see [22]:
- Exel and Laca propose a shift space associated to an infinite matrix as the spectrum of a certain commutative algebra, see [5]
- We want a definition that puts the approaches connected to graph  $C^*$ -algebras and topological Markov chains with infinitely many states under one umbrella.



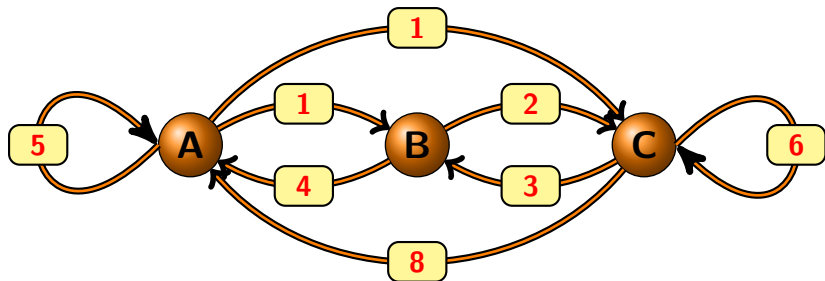
# Infinite graphs



# Ultragraphs



# Ultragraphs



## Definition 2.1.

An ultragraph is a quadruple  $\mathcal{G} = (G^0, \mathcal{G}^1, r, s)$  consisting of two countable sets  $G^0, \mathcal{G}^1$ , a map  $s : \mathcal{G}^1 \rightarrow G^0$ , and a map  $r : \mathcal{G}^1 \rightarrow P(G^0) \setminus \{\emptyset\}$ , where  $P(G^0)$  stands for the power set of  $G^0$ .

## Definition 2.2.

*Let  $\mathcal{G}$  be an ultragraph. Define  $\mathcal{G}^0$  to be the smallest subset of  $P(G^0)$  that contains  $\{v\}$  for all  $v \in G^0$ , contains  $r(e)$  for all  $e \in \mathcal{G}^1$ , and is closed under finite unions and non-empty finite intersections.*

## Definition 2.2.

Let  $\mathcal{G}$  be an ultragraph. Define  $\mathcal{G}^0$  to be the smallest subset of  $P(\mathcal{G}^0)$  that contains  $\{v\}$  for all  $v \in \mathcal{G}^0$ , contains  $r(e)$  for all  $e \in \mathcal{G}^1$ , and is closed under finite unions and non-empty finite intersections.

## Definition 2.3.

The ultragraph algebra  $C^*(\mathcal{G})$  is the universal  $C^*$ -algebra generated by a family of partial isometries with orthogonal ranges  $\{s_e : e \in \mathcal{G}^1\}$  and a family of projections  $\{p_A : A \in \mathcal{G}^0\}$  satisfying

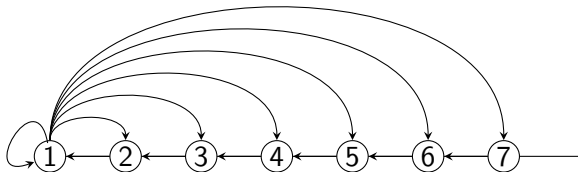
- 1  $p_\emptyset = 0, p_A p_B = p_{A \cap B}, p_{A \cup B} = p_A + p_B - p_{A \cap B}$ , for all  $A, B \in \mathcal{G}^0$ ;
- 2  $s_e^* s_e = p_{r(e)}$ , for all  $e \in \mathcal{G}^1$ ;
- 3  $s_e s_e^* \leq p_{s(e)}$  for all  $e \in \mathcal{G}^1$ ; and
- 4  $p_v = \sum_{s(e)=v} s_e s_e^*$  whenever  $0 < |s^{-1}(v)| < \infty$ .

## Example: Renewal shift

$$A = \begin{pmatrix} 1 & 1 & 1 & \dots \\ 1 & 0 & 0 & \dots \\ 0 & 1 & 0 & \dots \\ \vdots & & \ddots & \end{pmatrix}$$

## Example: Renewal shift

$$A = \begin{pmatrix} 1 & 1 & 1 & \dots \\ 1 & 0 & 0 & \dots \\ 0 & 1 & 0 & \dots \\ \vdots & & \ddots & \end{pmatrix}$$



## Definition 3.1.

*For each subset  $A$  of  $G^0$ , let  $\varepsilon(A)$  be the set  $\{e \in \mathcal{G}^1 : s(e) \in A\}$ . We shall say that a set  $A$  in  $\mathcal{G}^0$  is an infinite emitter whenever  $\varepsilon(A)$  is infinite.*



## Definition 3.1.

For each subset  $A$  of  $G^0$ , let  $\varepsilon(A)$  be the set  $\{e \in G^1 : s(e) \in A\}$ . We shall say that a set  $A$  in  $G^0$  is an *infinite emitter* whenever  $\varepsilon(A)$  is infinite.

## Definition 3.2.

Let  $A \in G^0$ . We say that  $A$  is a **minimal infinite emitter** if it is an infinite emitter that contains no proper subsets (in  $G^0$ ) that are infinite emitters.

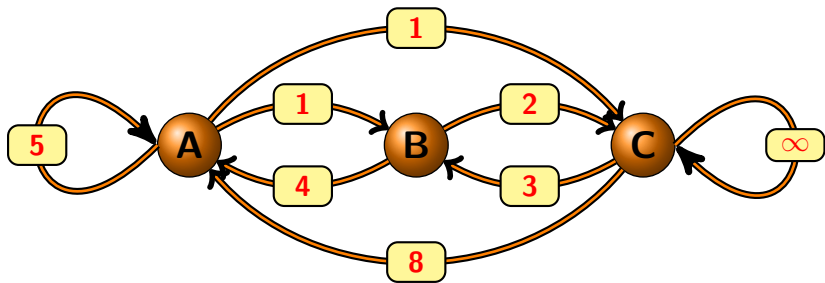
## Definition 3.1.

For each subset  $A$  of  $G^0$ , let  $\varepsilon(A)$  be the set  $\{e \in \mathcal{G}^1 : s(e) \in A\}$ . We shall say that a set  $A$  in  $\mathcal{G}^0$  is an *infinite emitter* whenever  $\varepsilon(A)$  is infinite.

## Definition 3.2.

Let  $A \in \mathcal{G}^0$ . We say that  $A$  is a **minimal infinite emitter** if it is an infinite emitter that contains no proper subsets (in  $\mathcal{G}^0$ ) that are infinite emitters. We denote the set of all minimal infinite emitters in  $r(\alpha)$  by  $M_\alpha$ .

# The topological space



# The topological space

Let

$$X_{fin} = \{(\alpha, A) \in \mathfrak{p} : |\alpha| \geq 1 \text{ and } A \in M_\alpha\} \cup \\ \{(A, A) \in \mathcal{G}^0 : A \text{ is a minimal infinite emitter}\}.$$

# The topological space

Let

$$X_{fin} = \{(\alpha, A) \in \mathfrak{p} : |\alpha| \geq 1 \text{ and } A \in M_\alpha\} \cup \\ \{(A, A) \in \mathcal{G}^0 : A \text{ is a minimal infinite emitter}\}.$$

Define

$$X = \mathfrak{p}^\infty \cup X_{fin}.$$

# The topological space

**Metric in  $X$ :**

## Metric in $X$ :

list the elements of  $\mathfrak{p}$  as  $\mathfrak{p} = \{p_1, p_2, p_3, \dots\}$ . Then, for  $x, y \in X$ , we have that

$$d_X(x, y) := \begin{cases} 1/2^i & i \in \mathbb{N} \text{ is the smallest value such that } p_i \text{ is an initial} \\ & \text{segment of one of } x \text{ or } y \text{ but not the other,} \\ 0 & \text{if } x = y. \end{cases} \quad (1)$$

# The shift map

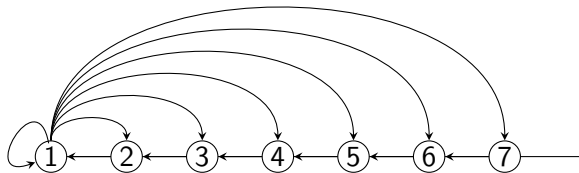
## Definition 3.3.

*The shift map is the function  $\sigma : X \rightarrow X$  defined by*

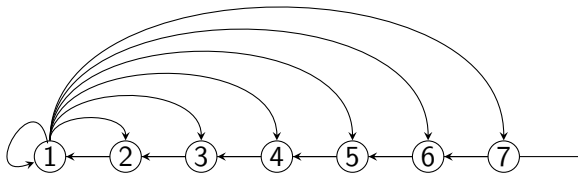
$$\sigma(x) = \begin{cases} \gamma_2\gamma_3\ldots & \text{if } x = \gamma_1\gamma_2\ldots \in \mathfrak{p}^\infty \\ (\gamma_2\ldots\gamma_n, A) & \text{if } x = (\gamma_1\ldots\gamma_n, A) \in X_{fin} \text{ and } |x| > 1 \\ (A, A) & \text{if } x = (\gamma_1, A) \in X_{fin} \\ (A, A) & \text{if } x = (A, A) \in X_{fin} \text{ and } |x| = 0. \end{cases}$$



## Back to the Renewal shift

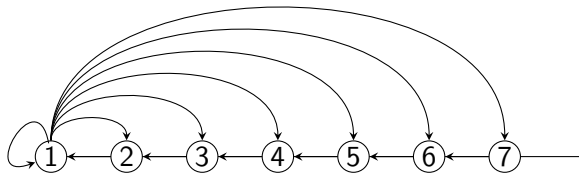


## Back to the Renewal shift



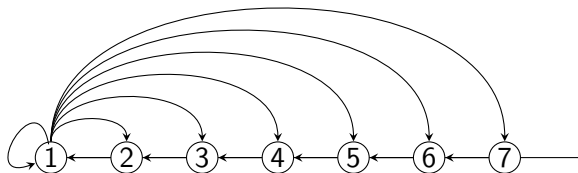
- Say  $s(f_i) = i$ ,  $r(f_i) = i - 1$  for  $i = 2, 3, \dots$  and  $r(e_1) = \{1, 2, 3, \dots\}$ .

## Back to the Renewal shift



- Say  $s(f_i) = i$ ,  $r(f_i) = i - 1$  for  $i = 2, 3, \dots$  and  $r(e_1) = \{1, 2, 3, \dots\}$ .
- Only one infinite emitter:  $A = r(e_1) = \{1, 2, 3, \dots\}$ .

## Back to the Renewal shift



- Say  $s(f_i) = i$ ,  $r(f_i) = i - 1$  for  $i = 2, 3, \dots$  and  $r(e_1) = \{1, 2, 3, \dots\}$ .
- Only one infinite emitter:  $A = r(e_1) = \{1, 2, 3, \dots\}$ .
- $X$  consists of infinite paths and finite paths of the form

$$(f_1 \dots f_k e_1, A),$$

that "end" at  $A$ .

Via partial actions we obtain...

### **Theorem 4.1.**

*Let  $\mathcal{G}_1, \mathcal{G}_2$  be two ultragraphs such that their shift spaces,  $X$  and  $Y$  respectively, are conjugate via a conjugacy  $\phi : X \rightarrow Y$  that preserves length.*

Via partial actions we obtain...

### Theorem 4.1.

*Let  $\mathcal{G}_1, \mathcal{G}_2$  be two ultragraphs such that their shift spaces,  $X$  and  $Y$  respectively, are conjugate via a conjugacy  $\phi : X \rightarrow Y$  that preserves length. Then  $C^*(\mathcal{G}_1)$  and  $C^*(\mathcal{G}_2)$  are isomorphic, via an  $*$ -isomorphism that intertwines the canonical Gauge actions and maps the commutative  $C^*$ -subalgebra of  $C^*(\mathcal{G}_1)$ , generated by  $\{s_{e_1} \dots s_{e_n} p_A s_{e_n}^* \dots s_{e_1}^* : e_i \in \mathcal{G}_1^1, A \in \mathcal{G}_1^0\}$ , to the corresponding  $C^*$ -subalgebra of  $C^*(\mathcal{G}_2)$ .*

Via partial actions we obtain...

### Theorem 4.1.

*Let  $\mathcal{G}_1, \mathcal{G}_2$  be two ultragraphs such that their shift spaces,  $X$  and  $Y$  respectively, are conjugate via a conjugacy  $\phi : X \rightarrow Y$  that preserves length. Then  $C^*(\mathcal{G}_1)$  and  $C^*(\mathcal{G}_2)$  are isomorphic, via an  $*$ -isomorphism that intertwines the canonical Gauge actions and maps the commutative  $C^*$ -subalgebra of  $C^*(\mathcal{G}_1)$ , generated by  $\{s_{e_1} \dots s_{e_n} p_A s_{e_n}^* \dots s_{e_1}^* : e_i \in \mathcal{G}_1^1, A \in \mathcal{G}_1^0\}$ , to the corresponding  $C^*$ -subalgebra of  $C^*(\mathcal{G}_2)$ .*

# Partial Actions

- $(E^0, E^1, r, s) \rightarrow$  a graph.



# Partial Actions

- $(E^0, E^1, r, s) \rightarrow$  a graph.
- $X$  is the shift space (infinite paths)

# Partial Actions

- $(E^0, E^1, r, s) \rightarrow$  a graph.
- $X$  is the shift space (infinite paths)
- $\mathbb{F} \rightarrow$  Free group on the edges.

# Partial Actions

- $(E^0, E^1, r, s) \rightarrow$  a graph.
- $X$  is the shift space (infinite paths)
- $\mathbb{F} \rightarrow$  Free group on the edges.
- For all  $a$  and  $b$  finite paths (inside  $\mathbb{F}$ ),

$$X_{ab^{-1}} = \{\text{paths that begin with } a\}$$

# Partial Actions

- $(E^0, E^1, r, s) \rightarrow$  a graph.
- $X$  is the shift space (infinite paths)
- $\mathbb{F} \rightarrow$  Free group on the edges.
- For all  $a$  and  $b$  finite paths (inside  $\mathbb{F}$ ),

$$X_{ab^{-1}} = \{\text{paths that begin with } a\}$$

- $h_{ba^{-1}} : X_{ab^{-1}} \rightarrow X_{ba^{-1}}$

## Skew ring and partial crossed product

Action  $h$  on (topological) space induces an action  $\alpha$  :

$\alpha_{ba^{-1}} : C(X_{ab^{-1}}) \rightarrow C(X_{ba^{-1}})$ , such that

$$\alpha_{ba^{-1}}(f) = f \circ h_{ab^{-1}}$$

# Skew ring and partial crossed product

Action  $h$  on (topological) space induces an action  $\alpha$  :

$\alpha_{ba^{-1}} : C(X_{ab^{-1}}) \rightarrow C(X_{ba^{-1}})$ , such that

$$\alpha_{ba^{-1}}(f) = f \circ h_{ab^{-1}}$$

## Definition 5.

$$C(X) * \mathbb{F} = \bigoplus_{g \in \mathbb{F}} C(X_g) = \left\{ \sum_{\text{finite}} f_t \delta_t : f_t \in C(X_t) \right\}$$

# Skew ring and partial crossed product

Action  $h$  on (topological) space induces an action  $\alpha$  :

$\alpha_{ba^{-1}} : C(X_{ab^{-1}}) \rightarrow C(X_{ba^{-1}})$ , such that

$$\alpha_{ba^{-1}}(f) = f \circ h_{ab^{-1}}$$

## Definition 5.

$$C(X) * \mathbb{F} = \bigoplus_{g \in \mathbb{F}} C(X_g) = \left\{ \sum_{\text{finite}} f_t \delta_t : f_t \in C(X_t) \right\}$$

This is an algebra with pointwise sum and multiplication given by

$$f_t \delta_t * f_s \delta_s = f_t \alpha_t(f_s) \delta_{ts} =$$

# Skew ring and partial crossed product

Action  $h$  on (topological) space induces an action  $\alpha$  :

$\alpha_{ba^{-1}} : C(X_{ab^{-1}}) \rightarrow C(X_{ba^{-1}})$ , such that

$$\alpha_{ba^{-1}}(f) = f \circ h_{ab^{-1}}$$

## Definition 5.

$$C(X) * \mathbb{F} = \bigoplus_{g \in \mathbb{F}} C(X_g) = \left\{ \sum_{\text{finite}} f_t \delta_t : f_t \in C(X_t) \right\}$$

This is an algebra with pointwise sum and multiplication given by

$$f_t \delta_t * f_s \delta_s = f_t \alpha_t(f_s) \delta_{ts} = \alpha_t(\alpha_{t^{-1}}(f_t \cdot f_s)) \delta_{ts}$$



## Theorem 6.

$L_K(E) \sim L_c(X) * \mathbb{F}$  and  $C^*(E) \sim C(X) \ltimes \mathbb{F}$

# Skew ring and partial crossed product

## Theorem 6.

$L_K(E) \sim L_c(X) * \mathbb{F}$  and  $C^*(E) \sim C(X) \rtimes \mathbb{F}$

## Theorem 7.

$L_c(X) * \mathbb{F}$  is simple iff action is topologically free and minimal iff graph satisfies Condition (L) and there are no hereditary and saturated subsets of the vertices.

## Theorem 6.

$L_K(E) \sim L_c(X) * \mathbb{F}$  and  $C^*(E) \sim C(X) \rtimes \mathbb{F}$

## Theorem 7.

$L_c(X) * \mathbb{F}$  is simple iff action is topologically free and minimal iff graph satisfies Condition (L) and there are no hereditary and saturated subsets of the vertices.

## Theorem 8.

Let  $E_1, E_2$  be graphs. The following are equivalent:

- $X_{E_1}$  and  $X_{E_2}$  are orbit equivalent.
- There is an isomorphism between  $C(X_{E_1}) \rtimes \mathbb{F}_1$  and  $C(X_{E_2}) \rtimes \mathbb{F}_2$  that takes  $C(X_{E_1})$  to  $C(X_{E_2})$ .
- The associated partial actions are continuous orbit equivalent.

## Further topics

- Path groupoid

## Further topics

- Path groupoid
- Continuous Orbit Equivalence

## Further topics

- Path groupoid
- Continuous Orbit Equivalence
- Full Groups

## Further topics

- Path groupoid
- Continuous Orbit Equivalence
- Full Groups
- Analogues of LPA results

## Further topics






- Path groupoid
- Continuous Orbit Equivalence
- Full Groups
- Analogues of LPA results
- Symbolic Dynamics








## Further topics






- Path groupoid
- Continuous Orbit Equivalence
- Full Groups
- Analogues of LPA results
- Symbolic Dynamics

# References I






-  M. Boyle, J. Buzzi, and R. Gómez. *Almost isomorphism for countable state Markov shifts*. J. Reine. Angew. Math. **592**, (2006), 23-47.
-  M. Boyle, J. Buzzi, and R. Gómez. *Good potentials for almost isomorphism of countable state Markov shifts*. Stoch. Dyn. **07**, (2007), 1-15.
-  T. M. Carlsen, N. S. Larsen, *Partial actions and KMS states on relative graph  $C^*$ -algebras*. J. Funct. Anal. **271**, Issue 8, (2016), 2090-2132.
-  V. Cyr and O. Sarig. *Spectral gap and transience for Ruelle operators on countable Markov shifts*. Commun. Math. Phys. **292**(3), (2009), 637-666.
-  R. Exel, M. Laca, *Cuntz-Krieger algebras for infinite matrices*. J. Reine Angew. Math. **512**, (1999), 119-172.

# References II






-  D. Fiebig *Factor maps, entropy and fiber cardinality for Markov shifts*. Rocky Mountain J. Math **31**(3), (2001), 955-986.
-  FIEBIG, D.. *Graphs with pre-assigned Salama entropies and optimal degree*. Ergodic Theory Dynam. Systems **23**, (2003), 1093-1124.
-  D. Fiebig and Ulf-Rainer Fiebig. *Compact factors of countable state Markov shifts*. Theoret. Comput. Sci. **270**(1), (2002), 935-946.
-  FIEBIG, D. AND FIEBIG, U.-R.. *Topological Boundaries for Countable State Markov Shifts*. Proc. Lond. Math. Soc. **3-70**(3), (1995), 625-643.
-  FIEBIG, D. AND FIEBIG, U.-R. (2005). *Embedding theorems for locally compact Markov shifts*. Ergodic Theory Dynam. Systems **25**, (2005), 107-131.





-  Gonçalves, D. Royer, *Ultragraphs and shift spaces over infinite alphabets*, Bull. Sci. Math., **141**, (2017), 25-45.
-  D. Gonçalves, H. Li, D. Royer, *Branching systems and general Cuntz-Krieger uniqueness theorem for ultragraph  $C^*$ -algebras*. Internat. J. Math. **27**(10), (2016).
-  D. Gonçalves and D. Royer,  *$(M+1)$ -step shift spaces that are not conjugate to  $M$ -step shift spaces*. Bull. Sci. Math. **139**(2), (2015), 178-183.
-  D. Gonçalves, M. Sobottka and C. Starling, *Inverse semigroup shifts over countable alphabets*, Semigroup Forum, to appear.
-  D. Gonçalves, M. Sobottka and C. Starling, *Sliding block codes between shift spaces over infinite alphabets*. Math. Nachr. **289** (17-18), (2016), 2178-2191.

# References IV

-  D. Gonçalves, M. Sobottka and C. Starling, *Two-sided shift spaces over infinite alphabets*. J. Aust. Math. Soc., to appear.
-  G. Iommi and Y. Yayama. *Almost-additive thermodynamic formalism for countable Markov shifts*. Nonlinearity **25(1)**, (2012).
-  B. P. Kitchens. *Symbolic Dynamics: One-sided, Two-sided and Countable State Markov Shifts*, Springer Verlag, (1997).
-  D. Lind and B. Marcus, *An introduction to symbolic dynamics and coding*. Cambridge, Cambridge University Press, (1995).
-  A. Marrero, Paul S. Muhly, *Groupoid and inverse semigroup presentations of ultragraph  $C^*$ -algebras*. Semigroup Forum **77(3)**, (2008), 399-422.

# References V

-  R. D. Mauldin and M. Urbański. *Gibbs states on the symbolic space over an infinite alphabet*. Israel J. Math. **125**, 2001, 93-130.
-  W. Ott, M. Tomforde and P. Willis, *One-sided shift spaces over infinite alphabets*. New York J. Math. Monographs **5**, (2014).
-  A. T. Paterson and A. E. Welch, *Tychonoff's theorem for locally compact spaces and an elementary approach to the topology of path spaces*. Proc. Amer. Math. Soc. **133**, (2005), 2761-2770.
-  B. E. Raines and T. Underwood, *Scrambled sets in shift spaces on a countable alphabet*, Proc. Amer. Math. Soc., **144** (1), (2015), 214-224.
-  O. M. Sarig. *Thermodynamic formalism for countable Markov shifts*. Ergodic Theory Dyn. Syst. **19**, (1999), 1565-1593.

-  M. Sobottka and D. Gonçalves *A note on the definition of sliding block codes and the Curtis-Hedlund-Lyndon Theorem.* J. Cell. Autom., J. Cell. Autom. **12**, (2017), 209-215.
-  M. Tomforde, *A unified approach to Exel-Laca algebras and  $C^*$ -algebras associated to graphs.* J. Operator Theory **50**, (2003), 345-368.
-  M. Tomforde, *Simplicity of ultragraph algebras.* Indiana Univ. Math. J. **52**(4), (2003), 901-926.
-  S. B. G. Webster, *The path space of a directed graph,* Proc. Amer. Math. Soc. **142**, (2014), 213-225.